

Thank you for your interest in our complimentary Portfolio Review and Retirement Analysis.

In order to perform an accurate analysis of your portfolio, we will need the following information:

1. Completed “Risk/Reward Asset Allocation Questionnaire”

You will find this questionnaire on the next page. From your answers we will be able to determine your tolerance for Risk and Reward. This helps us determine an appropriate asset allocation for you and compare it to your current holdings.

2. Information on your current portfolio

Please include mutual fund names, ticker symbols and current investment value. Your last quarterly statement is usually sufficient. Whenever possible please include the ticker symbol of your mutual funds as it will speed up our review process.

3. Complete list of investments that are available to you.

This is extremely important as it allows us to compare your current holding with the other investments available to you and identify the superior choices. Again please include ticker symbols (example: TAGRX) whenever possible.

4. Completed Retirement Questionnaire (*Optional*)

This will allow us to pinpoint how you are doing in achieving your retirement goal. You will receive a detailed report showing the results of our analysis.

Once we receive the requested information we will complete a review of your portfolio and an overall retirement analysis. With this information you will

1. Know your ideal asset allocation based on your risk/reward criteria
2. Receive our comments and observations regarding your current investment selections and alternatives
3. Understand what it will take to achieve your retirement goals.

Lifestyle Portfolios

Estate Planning, Retirement and Financial Services

RISK REWARD - ASSET ALLOCATION QUESTIONNAIRE

Name: _____ Phone: (____) _____

Address: _____ Email: _____

Directions: Read each of the following statements and circle the corresponding number that is consistent with your agreement to the statement. Ranges (1) being strongly disagree to (5) being strongly agree.

Question	Strongly Disagree		Strongly agree		
	1	2	3	4	5
1. Expected Return: Given historical returns on different kinds of investments, my desired level of investment return is above-average.	1	2	3	4	5
2. Risk Tolerance: I am willing to bear an above-average level of investment risk (volatility). I can accept occasional years with negative investment returns.	1	2	3	4	5
3. Holding Period: I am willing to maintain investment positions over a reasonably long period of time (generally considered 10 years or more).	1	2	3	4	5
4. Liquidity: I do not need to be able to readily convert my investments into cash. Aside from my portfolio, I have adequate liquid net worth to meet major near-term expenses.	1	2	3	4	5
5. Ease of Management: I want to be very actively involved in the monitoring and decision-making required to manage my investments.	1	2	3	4	5
6. Dependents: There are none or only a few dependents that rely on my income and my investment portfolio for support.	1	2	3	4	5
7. Income Source: My major source of income is adequate, predictable and steadily growing.	1	2	3	4	5
8. Insurance Coverage: I have an adequate degree of insurance coverage.	1	2	3	4	5
9. Investment Experience: I have prior investment experience with stocks, bonds, and international investments. I understand the concept of investment risk.	1	2	3	4	5
10. Debt/Credit: My debt level is low and my credit history is excellent.	1	2	3	4	5
11. I consider myself to be (Select One):	Aggressive Moderately Aggressive Moderate Moderately Conservative Conservative				

Please return to fax (949) 429-7205 or send answers via email to lenny@lifestyleportfolios.com

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RETIREMENT QUESTIONNAIRE

Retirement is an exciting time in your life, and just as important as being able to get to that point, is making sure that you have enough money to do so. Before you begin this questionnaire, it is extremely important that you have a clear idea of your monthly expenses (all of them).

Name: _____ Phone: (____) _____

Address: _____ Email: _____

Question	Answer
1. What is your current age and marital status?	
2. What is your current annual income?	\$
3. What is your spouse's current annual income?	\$
4. How much are your current monthly expenses?	\$
5. How much do you currently have saved for retirement?	
	<i>401k Accounts</i> \$
	<i>SEP/Simple IRA, Keogh Plans, IRA/Roth IRA</i> \$
	<i>Annuities/Pensions</i> \$
	<i>Cash Accounts</i> \$
6. What is your current annual savings amount?	\$
7. How much will you increase your annual savings amount annually?	%
8. What is your desired retirement age?	
9. For how many years will you need retirement income?	years
10. What percent of your current income will you need at retirement? (usually between 60-75%)	%
11. At what age do you plan to take your social security benefits?	
12. Do you currently have life insurance, medi-care or an annuity to help you with retirement income?	Yes / No

Congratulations you have just taken your first step in reaching financial security at retirement. Please fax the completed questionnaire to (949) 429-7205.

Make sure to include the address where we should send the analysis.